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To: USPTO **From:** Laura Alto
Fax: (571) 273-8300 **Pages:** 12 (including cover sheet)
Phone: (212) 294-8075 **Date:** July 28, 2009
Re: Response To Non-Compliant/Non-Responsive Amendment **cc:**


FORMAL COMMUNICATION

Applicants : Alastair J. D. Beadle, et al.
Application No. : 10/716,305 Confirmation No. : 6925
Filed : November 18, 2003
For : SYSTEM AND METHOD FOR MANAGING
RELATIONSHIPS BETWEEN BROKERS AND
TRADERS USING A MESSAGING FORMAT
Group Art Unit : 3691
Examiner : Kellie L. Campbell

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on July 28, 2009, the items faxed herewith are:

1. Fee Transmittal (in duplicate) (2 pages);
2. Response To Non-Compliant/Non-Responsive Amendment (9 pages).



Laura Alto

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PTO/SB/17 (10-08)

Approved for use through 08/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2009		Complete if Known Application Number: 10/716,305 Filing Date: November 18, 2003 First Named Inventor: Alastair J. D. Beadle Examiner Name: Kellie L. Campbell Art Unit: 3691 Attorney Docket No.: 03-6182	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$) 490.00			

METHOD OF PAYMENT (check all that apply)

☐ Check
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☒ Deposit Account Deposit Account Number: 50-3938 Deposit Account Name: CANTOR FITZGERALD

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195

Total Claims: _____ Extra Claims: _____ Fee (\$): _____ Fee Paid (\$): _____
 - 20 or MP = _____ x _____ = _____
 MP = highest number of total claims paid for, if greater than 20.

Indep. Claims: _____ Extra Claims: _____ Fee (\$): _____ Fee Paid (\$): _____
 - 3 or MP = _____ x _____ = _____
 HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	_____	_____

- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Description	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): Two-month extension of time fee	490.00

SUBMITTED BY

Signature:	Registration No. (Attorney/Agent): 50,561	Telephone: (212) 294-7733
Name (Print/Type): Glen R. Farbanish	Date: July 28, 2009	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 06/30/2010. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2009

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Complete if Known

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First Named Inventor Alastair J. D. Beadle
Examiner Name Kelle L. Campbell
Art Unit 3691
Attorney Docket No. 03-6182

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		Fee Paid (\$)

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Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

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Fees Paid (\$)

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Name (Print/Type) Glen R. Farbanish Date July 28, 2009

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